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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/966,024

09/28/2001

Eric C. Hannah

42390.P11816

CONFIRMATION NO. 4587

008791 BLAKELY SOKOLOFF TAYLOR & ZAFMAN 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025

FORMALITIES LETTER

OC000000006989384

Date Mailed: 11/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/24/2002 ANABI1

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130.00 OP

PTO/SB/17 (10-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Co	mplete if Known	
Application Number	09/966,024	
Filing Date	September 28, 2001	
First Named Inventor	Eric C. Hannah	ço.
Examiner Name	Not yet assigned	ORIGION
Group Art Unit	1645	MALI
Attorney Docket No.	42390.P11816	

METHOD OF PAYMENT	FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated fees and credit any overpayments to: Deposit	Large Small					
Account Number 02-2666	Entity Entity Fee	Fee Paid				
Deposit	Code (\$) Code (\$)					
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	105 130 205 65 Surcharge - late filing fee or oath	130.00				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
Applicant claims small entity status.	139 130 139 130 Non-English specification					
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination					
2. X Payment Enclosed: X Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action					
FEE CALCULATION	113 1,840° 113 1,840° Requesting publication of SIR after Examiner action					
	115 110 215 55 Extension for reply within first month					
BASIC FILING FEE Large Entity Small Entity	116 400 216 200 Extension for reply within second month					
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month					
	118 1,440 218 720 Extension for reply within fourth month					
101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month					
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal					
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal					
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing					
	138 1,510 138 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$) -0-	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional					
Fee from Ext <u>ra Claims below Fee Paid</u>	142 1,280 242 640 Utility issue fee (or reissue)					
Total Claims20** = X18 _=	143 460 243 230 Design issue fee					
Independent - 3** = X 84 =	144 620 244 310 Plant issue fee					
Multiple Dependent	122 130 122 130 Petitions to the Commissioner					
	123 50 123 50 Processing fee under 37 CFR 1.17(q)					
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)					
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection					
104 280 204 140 Multiple dependent claim, if not paid	(37 ČFR § 1.129(a))					
109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))					
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)					
and over original patent	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$) -0-	Other fee (specify)					
**or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)	130.00				

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Charles P. Landrum, Patent Agent	Registration No. (Attorney/Agent)	46,855	Telephone	512-330-0844
Signature	Charls P. Langh			Date	11/28/2001

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	HADE		U.S. Patent and Tradem	yed for use through 10/31/2002. OMB 0651-0031 lark Office: U.S. DEPARTMENT OF COMMERCE	
Under the Paperwork Reduction	on Act of 1995, no persor	ns are required to re	Application Number	on unless it displays a valid OMB control number. 09/966,024	
TRA	ANSMITT	TAL	Filing Date	September 28, 2001	
FORM (to be used for all correspondence after initial filing)		First Named Inventor	Eric C. Hannah		
		Group Art Unit	1645		
		Examiner Name	Not yet assigned		
Total Number of Pages in This Submission		Attorney Docket Number	42390.P11816		
		ENCL	OSURES (check	all that apply)	
	claration(s) Request ment Request ure Statement riority ng Parts/	CD, Nu	to Convert to a small Application of Attorney, Revocation of Correspondence all Disclaimer of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Declaration and POA; Copy of Notice to File Missing Parts of Non-provisional Application; Return postcard with this transmission, however, a credit appropriate, please debit a Zafman Deposit Account No.	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name	Charles P. Landrum, Patent Agent; Reg. No. 46,855				
Signature	Charls P. Langh				
Date 11 28 2001					
CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 28, 2001					
Typed or printed name Reina R. Bernfeld					
Signature Signature Signature Signature Signature Date November 28, 2001 Signature November 28, 2001					

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PTO/SB92 (08-00)

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on_ November 28, 2001

Date

Reina R. Bernfeld

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Transmittal Form

Fee Transmittal (+ copy)

Declaration and Power of Attorney

Copy of Notice to File Missing Parts of Nonprovisional Application

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